

17-16-03

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Atsushi HOSHIAI, et al

Examiner:

Unknown

Serial No.:

10/719,872

Group Art Unit:

Unknown

Filed:

November 21, 2003

Docket:

230980-0252

Title:

ELECTRONIC MUSICAL INSTRUMENT

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as express mail (EL977159932US), with sufficient postage, in an envelope addressed to: Commissioner for Batents, P.O. Box 1450 Alexandria, VA 22313 on December 15, 2003.

By:

Richard Ruggiero

Commissioner for Patents Alexandria, VA 22313

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet with Certificate of Mailing thereon (in duplicate)

Information Disclosure Statement Transmittal (in duplicate)

Form PTO/SB/08

Cited References (2)

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Date: December 15, 2003

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Rég. No.: 32,933 TRR/rsr

Name: Ted Rittmaster



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**INSTRUMENT** 

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Examiner:

Not assigned

Art Unit:

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# CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450. EL977159932US December 15, 2003 (Express Mail Label Number) (Date of Deposit) Richard Ruggiero (Printed Name)

# <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.



# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

# RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is described in the present specification. An English translation of the foreign-language documents is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted.

Ted R. Rittmaster

Attorney for Applicant Registration No. 32,933

Date

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11.401.	Substitute fo	or form 1449B	/PTO	Complete if Known			
	INFORMATI	ON DISCLO	SURE	Application Number	10/719872		
	STATEMEN	T BY APPLI	CANT	Filing Date	11/21/03		
,	Date Submitted	l: Docombor	15 2003	First Named Inventor	Atsushi HOSHIAI, et al		
'	Date Submitted	i. December	10, 2003	Group Art Unit			
	(use as many s	sheets as ne	cessary)	Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	230980-0252		

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant		
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
	A1	2001-188544	Roland Corporation	0710/2001		Ab			
	A2	2002-14676	Roland KK	01/18/2002	-	Ab			

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ <sup>¢</sup>				

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.